

# Common Regulations Governing Minimum Standards and Procedure for award of M.Phil / PhD Degrees-2016

(University Grants Commission (Minimum Standards and Procedure for  
Award of M.Phil/PhD Degrees) Regulations, 2016)

{In supersession of the UGC (Minimum Standards and Procedure for Awards of  
M.Phil /PhD Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part  
III- Section 4] for the week July 11-July 17, 2009}

EXISTING as per UGC 2016 Regulations	PROPOSED	REMARKS
<p>1. Short title, Application and Commencement:</p> <p>1.1 These Regulations may be called University Grants Commission (Minimum Standards and Procedure for Award of M.Phil/PhD Degrees) Regulations, 2016.</p>	<p>1. Short title, Application and Commencement:</p> <p>1.1 These Regulations may be called University Grants Commission (Minimum Standards and Procedure for Award of M.Phil/PhD Degrees) Regulations, 2016.</p>	
<p>1.2 They shall apply to every University established or incorporated by or under a Central Act, a Provincial Act, or a State Act, every affiliated college, and every Institution Deemed to be a University under Section 3 of UGC Act, 1956.</p>	<p>1.2 They shall apply to every University established or incorporated by or under a Central Act, a Provincial Act, or a State Act, every affiliated college/ institutes recognized as research centers by the concerned Universities/ Private Universities, and every Institution Deemed to be a University under Section 3 of UGC Act, 1956.</p>	Minor Changes

1.3 They shall come into force from the date of their publication in the Gazette of India.	1.3 These Regulations shall come into effect from the date of assent of the Chancellor	New insertion
<p>2. Eligibility criteria for admission to the M.Phil programme:</p> <p>2.1 Candidates for admission to the M.Phil. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.</p>	<p>2. Eligibility criteria for admission to the M.Phil programme:</p> <p>2.1 Candidates for admission to the M.Phil programme shall have a Master's degree or a professional degree in relevant/allied/concerned subjects declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.</p>	Minor changes
2.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/ OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision	2.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/Cat.-I /Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or	Minor changes

<p>of the Commission from time to time, or for those who had obtained their Master's degree prior to 19<sup>th</sup> September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.</p>	<p>for those who had obtained their Master's degree prior to 19<sup>th</sup> September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.</p>	
<p><b>3. Eligibility criteria for admission to PhD programme:</b></p> <p>Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph.D. programme:</p> <p><b>3.1</b> Master's Degree holders satisfying the criteria stipulated under Clause 2 above.</p>	<p><b>3. Eligibility criteria for admission to PhD programme:</b></p> <p>Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the PhD programme:</p> <p><b>3.1</b> Master's Degree holders satisfying the criteria stipulated under Clause 2 above.</p>	<p>No changes</p>
<p><b>3.2</b> Candidates who have cleared the MPhil course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the PhD Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an</p>	<p><b>3.2</b> Candidates who have cleared the MPhil course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the MPhil Degree shall be eligible to proceed to do research work leading to the PhD Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an</p>	<p>No changes</p>

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equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates as per the decision of the Commission from time to time.	equivalent relaxation of grade, may be allowed for those belonging to SC/ST/Cat-1 /differently-abled and other categories of candidates as per the decision of the Commission from time to time.	
3.3 A person whose M.Phil dissertation has been evaluated and the viva voce is pending may be admitted to the PhD programme of the same Institution;	3.3 A person whose M.Phil dissertation has been evaluated and the viva voce is pending may be admitted provisionally to the PhD programme.	Minor changes
3.4 Candidates possessing a Degree considered equivalent to M.Phil Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to PhD programme.	3.4 Candidates possessing a Degree considered equivalent to M.Phil Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions subject to equivalence established by the host University shall be eligible for admission to PhD programme.	New insertion
4. Duration of the Programme:  4.1 M.Phil programme shall be for a minimum duration of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years.	4. Duration of the Programme:  4.1 M.Phil programme shall be for a minimum duration of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years.	No changes

<p>4.2 PhD programme shall be for a minimum duration of three years, including course work and a maximum of six years.</p>	<p>4.2 Full time PhD programme shall be for a minimum duration of three years, including course work and a maximum of five years. A candidate who has registered on full time basis shall carry out the research for a minimum period of three years from the date of enrolment. The candidate registered on part time basis shall work for a minimum period of four years from the date of enrolment. However, a part time candidate may be permitted to work on full time basis on valid grounds. In such a case, the period of registration shall be three years from the date of change over or four years from the date of enrolment, whichever is early. A full time candidate may also be permitted to work on part time basis, but the period of such registration shall be four years from the date of original enrolment.</p> <p>A full time candidate shall complete the research work and submit the thesis to the University within a maximum period of five years from the date of enrolment while in the case of part time candidates the maximum period for submission shall be six years.</p>	<p>New insertion and additions</p>
<p>4.3 Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the individual Institution concerned.</p>	<p>4.3 Extension beyond the above limits shall be for a period of one year. In exceptional cases extension of time beyond the maximum permissible period may be allowed on sufficient justification and on the recommendation of the guide along with</p>	<p>New additions</p>

	<p>payment of the prescribed fee. If a candidate fails to submit the thesis even after extension, he/she shall re-register and submit it within two years, failing which he/she has to register afresh.</p>	
<p>4.4 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil and two years for PhD in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil/PhD for up to 240 days.</p>	<p>4.4 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil and two years for PhD in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil /PhD for up to 240 days.</p>	No changes
<p><b>5. Procedure for admission:</b></p> <p>5.1 All Universities and Institutions Deemed to be Universities shall admit M.Phil /PhD students through an Entrance Test conducted at the level of Individual University/Institution Deemed to be a University. The University/Institution Deemed to be a University may decide separate terms and conditions for PhD Entrance Test for those students who qualify UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil programme. Similar approach may be adopted in respect of Entrance Test for M.Phil programme.</p>	<p><b>5. Procedure for admission:</b></p> <p>5.1 All Universities and Institutions Deemed to be Universities and Private Universities shall admit M.Phil/PhD students through an Entrance Test conducted at the level of Individual University/ Institution Deemed to be a University/ Private University. The University/Institution Deemed to be a University may decide separate terms and conditions for PhD Entrance Test for those students who qualify JRF/UGC-NET/SLET/M.Phil with course work. The total number of vacancies will be equally divided into candidates 50% to those with JRF/UGC-NET/SLET/M.Phil, and the remaining 50% to the candidates who have passed entrance test conducted by the</p>	Minor changes and new insertion

	<p>respective Higher Educational Institutions (HEIs). The senior Scientists (Scientists/D and above) can directly register for PhD under a recognized guide. And these scientists are exempted from the PhD entrance test and PhD course work. The admission for such senior scientists can be made on the priority basis.</p>	
<p>5.2 Higher Educational Institutions (HEIs) referred to in sub-clause 1.2 above and Colleges under them which are allowed to conduct M.Phil and/or PhD programmes, shall:</p>	<p>5.2 Higher Educational Institutions (HEIs) referred to in sub-clause 1.2 above and Colleges under them which are allowed to conduct M.Phil and/or PhD programmes, shall:</p>	<p>No changes</p>
<p>5.2.1 Decide on an annual basis through their academic bodies a predetermined and manageable number of M.Phil and/or PhD scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 6.5), laboratory, library and such other facilities;</p>	<p>5.2.1 Decide on an annual basis through their academic bodies a predetermined and manageable number of M.Phil and/or PhD scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 6.5), laboratory, library and such other facilities;</p>	<p>No changes</p>
<p>5.2.2 Notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission,</p>	<p>5.2.2 Notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission,</p>	<p>No changes</p>

<p>procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates;</p>	<p>procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates;</p>	
<p>5.2.3 Adhere to the National/State-level reservation policy, as applicable.</p>	<p>5.2.3 Adhere to the National/State-level reservation policy, as applicable.</p>	<p>No changes</p>
<p>5.3 The admission shall be based on the criteria notified by the Institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.</p>	<p>5.3 The admission shall be based on the criteria notified by the Institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.</p>	<p>No changes</p>
<p>5.4 HEIs as mentioned in Clause 1.2 shall admit candidates by a two stage process through:</p> <p>5.4.1 An Entrance Test shall be qualifying with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance (changes of Centres, if any, also to be notified well in advance) at the level of the individual HEI as mentioned in clause 1.2; and</p>	<p>5.4 HEIs as mentioned in Clause 1.2 shall admit candidates by a two stage process through:</p> <p>5.4.1 An Entrance Test shall be qualifying with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research, methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance (changes of Centers, if any, also to be notified well in advance) at the level of the individual HEI as mentioned in clause 1.2; and</p>	<p>No changes</p>



5.4.2 An interview/viva-voce to be organized by the HEI as mentioned in clause 1.2 when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Research Committee.	5.4.2 An interview/viva-voce to be organized by the HEI as mentioned in clause 1.2 when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Doctoral Committee.	Minor change due to new insertion.
5.5 The interview/viva voce shall also consider the following aspects, viz. whether:	5.5 The interview/viva voce shall also consider the following aspects, viz. whether:	No changes
5.5.1 the candidate possesses the competence for the proposed research;	5.5.1 the candidate possesses the competence for the proposed research;	No changes
5.5.2 the research work can be suitably undertaken at the Institution/College;	5.5.2 the research work can be suitably undertaken at the Institution/College;	No changes
5.5.3 The proposed area of research can contribute to new/additional knowledge.	5.5.3 The proposed area of research can contribute to new/additional knowledge.	No changes
5.6 The University shall maintain the list of all the M.Phil / PhD registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.	5.6 The University shall maintain the list of all the M.Phil / PhD registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.	No changes
6. Allocation of Research Supervisor: Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of M.Phil/PhD scholars permissible per Supervisor, etc.	6. Allocation of Research Supervisor: Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of M.Phil/PhD scholars permissible per Supervisor, etc.	New addition extending the expanse of eligible guides.

6.1 Any regular Professor of the University/Institution Deemed to be a University/College with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the university/institution deemed to be a university/college with a PhD degree and at least two research publications in refereed journals may be recognized as Research Supervisor.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

6.1 Professor/ Associate Professors in the Post-Graduate departments of the University/Institution Deemed to be a University/College, who have Doctoral degree in the concerned subject/related subject shall be deemed to be the recognized guides in their subjects. Assistant Professor in the Post-Graduate departments of the university/institution deemed to be a university/college with a PhD degree and at least one year of independent research experience after PhD degree as evidenced by publications in refereed/reputed journals are eligible to be recognized as guides in their subjects.

Teachers/ Scientists/ Professionals working in recognized institutions and teachers of constituent/ affiliated colleges, recognized as Research Centers by the University, who have doctoral degree in the concerned/ related discipline and at least one year of independent research experience after the PhD degree as evidenced by publications in refereed/ reputed journals, and also teaching Post Graduate (PG) programmes in the colleges are eligible to be recognized as guides.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

<p>Deletion of some provisions. Retention of remaining provision with minor change.</p>	<p>6.2 Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Doctoral Committee.</p>	<p>6.2 Only a full time regular teacher of the concerned University/Institution Deemed to be a University/College can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.</p>
<p>No changes</p>	<p>6.3 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.</p>	<p>6.3 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.</p>
<p>New addition</p>	<p>6.5 A Research Supervisor/Co-supervisor, at any given point of time, cannot guide more than three (3) M.Phil and Eight (8) PhD scholars and two (2) foreign national candidates.</p>	<p>6.5 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (3) M.Phil and Eight (8) PhD scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil and six (6) PhD scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (1) M.Phil and four (4) PhD scholars.</p>

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<p>No changes</p>	<p>6.6 In case of relocation of an M.Phil/PhD woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give credit to the parent guide and the institution for the part of research already done.</p>	<p>6.6 In case of relocation of an M.Phil/PhD woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give credit to the parent guide and the institution for the part of research already done.</p>
<p>No changes</p>	<p>7. Course Work: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.</p> <p>7.1 The credit assigned to the M.Phil or PhD course work shall be a minimum of 08 credits and a maximum of 16 credits.</p>	<p>7. Course Work: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.</p> <p>7.1 The credit assigned to the M.Phil or PhD course work shall be a minimum of 08 credits and a maximum of 16 credits.</p>
<p>No changes</p>	<p>7.2 The course work shall be treated as prerequisite for M.Phil/PhD preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for M.Phil/PhD degree.</p>	<p>7.2 The course work shall be treated as prerequisite for M.Phil/PhD preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for M.Phil/PhD degree.</p>

No changes	7.3 All courses prescribed for M.Phil and PhD course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.	7.3 All courses prescribed for M.Phil and PhD course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.
Minor change	7.4 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Doctoral Committee, as stipulated under sub-Clause 8.1 below, of the research scholar.	7.4 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee, as stipulated under sub-Clause 8.1 below, of the research scholar.
No changes	7.5 All candidates admitted to the M.Phil and PhD programmes shall be required to complete the course work prescribed by the Department during the initial one or two semesters.	7.5 All candidates admitted to the M.Phil and PhD programmes shall be required to complete the course work prescribed by the Department during the initial one or two semesters.
New addition	7.6 Candidates already holding M.Phil degree and admitted to the PhD programme, or those who have already completed the course work in M.Phil and also senior Scientists (Scientists/D and above) have been permitted to proceed to the PhD, may be exempted by the Department from the PhD course work. All other candidates admitted to the PhD programme shall be required to complete the PhD course work prescribed by the Department.	7.6 Candidates already holding M.Phil degree and admitted to the PhD programme, or those who have already completed the course work in M.Phil and have been permitted to proceed to the PhD in integrated course, may be exempted by the Department from the PhD course work. All other candidates admitted to the PhD programme shall be required to complete the PhD course work prescribed by the Department.

<p>No changes</p>	<p>7.7 Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the Institution/College.</p>	<p>7.7 Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the Institution/College.</p>
<p>No changes</p>	<p>7.8 A M.Phil/PhD scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.</p>	<p>7.8 A M.Phil/PhD scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.</p>
<p>Additions of new provisions; The composition of the Doctoral Committee</p>	<p>8. Doctoral Committee and its functions: 8.1 There shall be a Doctoral Committee as defined in the Statutes/Ordinances of the Institution concerned, for each M.Phil and PhD scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. The composition of the Doctoral Committee shall be as follows: a) Chairperson of Board of Studies in the concerned subject - Chairperson b) Chairperson, Department of Studies of the respective Department/Head of the recognized Institution/Principal of</p>	<p>8. Research Advisory Committee and its functions: 8.1 There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned, for each M.Phil and PhD scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This Committee shall have the following responsibilities:</p>

the College or his/her nominee

- c) The Senior most faculty member of the Department other - Member than the Chairperson of the Department of Studies. If there is no PG Department of Studies in the subject, a faculty member of the related department nominated by Registrar (Evaluation)

- d) A faculty member from the concerned area of specialization - Member nominated by the Vice-Chancellor

- e) One outside expert during pre-thesis submission colloquium - Member only

- f) Concerned research supervisor (Guide) \* - Member

\* Where the Guide is also the Chairperson of Board of Studies/Department of Studies/Senior faculty, one more senior faculty member shall be nominated by the Vice-Chancellor

The committee shall meet as and when needed. The quorum for the meeting shall be three.

This Committee shall have the following responsibilities:

No changes	8.1.1 To review the research proposal and finalize the topic of research;	8.1.1 To review the research proposal and finalize the topic of research;
No changes	8.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.	8.1.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
No changes	8.1.3 To annually review and assist in the progress of the research work of the research scholar.	8.1.3 To periodically review and assist in the progress of the research work of the research scholar.
New addition	8.1.4 Undertake any function, exercise any powers entrusted in this statute or any work assigned to it.	
Minor changes	8.2 A research scholar shall appear before the Doctoral Committee once in a year to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Scholar to the Institution/College.	8.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Institution/College with a copy to the research scholar.
Minor changes	8.3 In case the progress of the research scholar is unsatisfactory, the Doctoral Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Doctoral Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.	8.3 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.



<p>9. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.:</p> <p>9.1 The overall minimum credit requirement, including credit for the course work, for the award of M.Phil degree shall not be less than 24 credits.</p>	<p>9.2 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-clauses 7.8 above, as the case may be, the M.Phil/PhD scholars shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.</p>	<p>9.3 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.</p>
<p>No changes</p>	<p>9.2 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-clauses 7.8 above, as the case may be, the M.Phil/PhD scholars shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.</p>	<p>9.3 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Doctoral Committee.</p>
<p>Minor changes</p>	<p>9.2 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-clauses 7.8 above, as the case may be, the M.Phil/PhD scholars shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.</p>	<p>9.3 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Doctoral Committee.</p>

<p>Minor changes</p>	<p>9.4 M.Phil. scholars shall present at least two (2) research paper in a conference/seminar and PhD scholars must publish at least two (2) research paper in refereed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.</p>	<p>9.4 M.Phil scholars shall present at least one (1) research paper in a conference/seminar and PhD scholars must publish at least one (1) research paper in refereed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.</p>
<p>No changes</p>	<p>9.5 The Academic Council (or its equivalent body) of the Institution shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.</p>	<p>9.5 The Academic Council (or its equivalent body) of the Institution shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.</p>
<p>New addition</p>	<p>9.6 The M.Phil dissertation submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least one external examiner who is not in the employment of the Institution/College. The viva-voce examination, based among other</p>	<p>9.6 The M.Phil dissertation submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least one external examiner who is not in the employment of the Institution/College. The viva-voce examination, based among other things, on</p>

	<p>things, on the critiques given in the evaluation report, shall be conducted by both of them together, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/ researchers. The Panel of Examiners has to be submitted to the University by the concerned Board of Studies.</p>	
<p>New insertion and minor changes</p>	<p>9.7 The PhD thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners (selected by the Vice-Chancellor), who are not in employment of the Institution/College, of whom one examiner shall be from outside the country or outside the State. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Doctoral Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.</p>	<p>9.7 The PhD thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the Institution/College, of whom one examiner may be from outside the country. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.</p>
<p>Minor change</p>	<p>9.8 The open viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory. If the</p>	<p>9.8 The public viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and</p>

	<p>evaluation report of the external examiner in case of M.Phil dissertation, or one of the evaluation reports of the external examiner in case of PhD thesis, is unsatisfactory and does not recommend viva-voce, the Institution shall send the dissertation/ thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.</p>	<p>include a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner in case of M.Phil dissertation, or one of the evaluation reports of the external examiner in case of PhD thesis, is unsatisfactory and does not recommend viva-voce, the Institution shall send the dissertation/ thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.</p>
No changes	<p>9.9 The Institutions shall develop appropriate methods so as to complete the entire process of evaluation of M.Phil dissertation/ PhD thesis within a period of six months from the date of submission of the dissertation/thesis.</p>	<p>9.9 The Institutions shall develop appropriate methods so as to complete the entire process of evaluation of M.Phil dissertation/ PhD thesis within a period of six months from the date of submission of the dissertation/thesis.</p>
New insertion	<p>9.10 M.Phil/PhD thesis shall be submitted to the institution both in hard and soft copies. The institution can adopt the appropriate methods of sending the hard/soft copies of the thesis depending upon the examiner's preference.</p>	

<p>Inclusion of clause 2 of section 10 in clause 1</p>	<p>10. Academic, administrative and infrastructure requirement to be fulfilled by Colleges for getting recognition for offering M.Phil/PhD programmes:</p> <p>10.1 Colleges may be considered eligible to offer M.Phil/PhD programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations.</p> <p>Post-graduate Departments of Colleges, Research laboratories of Government of India/State Government/ recognized Research centers with at least two PhD qualified teachers/scientists/other academic staff in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these Regulations, stipulated under sub-clause 10.3, shall be considered eligible to offer M.Phil/PhD programmes. Colleges should additionally have the necessary recognition by the Institution under which they operate to offer M.Phil/PhD programme.</p>	<p>10. Academic, administrative and infrastructure requirement to be fulfilled by Colleges for getting recognition for offering M.Phil/PhD programmes:</p> <p>10.1 Colleges may be considered eligible to offer M.Phil/PhD programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations.</p>
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<p>10.2 Post-graduate Departments of Colleges, Research laboratories of Government of India/State Government with at least two PhD qualified teachers/scientists/other academic staff in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these Regulations, stipulated under sub-clause 10.3, shall be considered eligible to offer M.Phil/PhD programmes. Colleges should additionally have the necessary recognition by the Institution under which they operate to offer M.Phil/PhD programme.</p>		
<p>10.1 Deletion, as this clause has been included in Clause 10.1</p>	<p>10.2 Colleges/ recognized Research Centers with adequate facilities for research as mentioned below shall offer M.Phil/PhD programmes:</p>	
	<p>10.2.1 In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the Institution concerned with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply.</p>	
<p>Minor change</p>	<p>10.2.2 Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for</p>	

		research scholars in the Department/ library for reading, writing and storing study and research materials;
	10.2.3 Colleges/recognized Research Centers may also access the required facilities of the neighbouring Institutions/Colleges, or of those Institutions/Colleges/R&D laboratories/Organizations which have the required facilities.	
Minor change	Changed into clause 10.2	10.3 : Colleges with adequate facilities for research as mentioned below shall offer M.Phil/PhD programmes:
	Changed into clause 10.2.1	10.3.1 In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the Institution concerned with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply;
	Changed into clause 10.2.2	10.3.2 Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials;

<p>10.3.3 Colleges may also access the required facilities of the neighbouring Institutions/Colleges, or of those Institutions/Colleges/R&amp;D laboratories/Organizations which have the required facilities.</p>	<p>11. Treatment of PHD / M.Phil through Distance Mode/Part-time:</p> <p>11.1 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, no University and College shall conduct M.Phil and PHD Programmes through distance education mode.</p>	<p>11.1 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, no University and College shall conduct M.Phil and PHD Programmes through distance education mode.</p> <p>11.2 Part-time PHD will be allowed provided all the conditions mentioned in the extant PHD Regulations are met.</p>
<p>Changed to clause 10.2.3</p>	<p>11. Treatment of PHD / M.Phil through Distance Mode/Part-time:</p> <p>11.1 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, no University and College shall conduct M.Phil and PHD Programmes through distance education mode.</p>	<p>11.1 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, no University and College shall conduct M.Phil and PHD Programmes through distance education mode.</p> <p>11.2 Part-time PHD will be allowed provided all the conditions mentioned in the extant PHD Regulations are met.</p>
<p>No changes</p>	<p>11.2 Part-time PHD will be allowed provided all the conditions mentioned in the extant PHD Regulations are met.</p>	<p>12. Award of M.Phil/ PHD degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities:</p> <p>12.1 Award of degrees to candidates registered for the M.Phil/ PHD programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil/ PHD Degree) Regulation, 2009.</p>
	<p>No changes</p>	<p>12. Award of M.Phil/ PHD degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities:</p> <p>12.1 Award of degrees to candidates registered for the M.Phil/ PHD programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil/ PHD Degree) Regulation, 2009.</p>



<p>12.2 If the M.Phil/PhD degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee/Equivalence committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.</p>	<p>13. Depository with INFLIBNET:</p> <p>13.1 Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil/PhD degree(s), the Institution concerned shall submit an electronic copy of the M.Phil dissertation/PhD thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.</p>	<p>13.2 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Registrar (Evaluation) stating that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.</p>
<p>12.2 If the M.Phil/PhD degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee/Equivalence committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.</p>	<p>13. Depository with INFLIBNET:</p> <p>13.1 Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil/PhD degree(s), the Institution concerned shall submit an electronic copy of the M.Phil dissertation/PhD thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.</p>	<p>13.2 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Registrar (Evaluation) stating that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.</p>
<p>12.2 If the M.Phil/PhD degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.</p>	<p>13. Depository with INFLIBNET:</p> <p>13.1 Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil/PhD degree(s), the Institution concerned shall submit an electronic copy of the M.Phil dissertation/PhD thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.</p>	<p>13.2 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.</p>

Minor changes

No changes

Minor changes

Signatures of the Chairman and the Members with date: 17-02-2017

1. Prof. K. Byrappa -Sd/-  
- Chairman

2. Prof. D. Shivalingaiah -Sd/-  
- Member

3. Prof. Subhash M.S -Sd/-  
- Member

4. Prof. Lingaraj Gandhi -Sd/-  
- Member

5. Prof. Rajanikanth -Sd/-  
- Special Invitee